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AMENDMENTS TO THE CLAIMS

1. (Currently Amended) A DNA participating in biological transformation of a macrolide compound (hereinafter referred to as a macrolide compound 11107B) represented by the formula (I):

$$H_3C$$
 OH CH_3 CH_3 CH_3 CH_3 CH_3 $CI)$

into a 16-position hydroxy macrolide compound represented by the formula (II):

the DNA being an isolated and pure DNA comprising a DNA encoding a protein having 16-position hydroxylating enzymatic activity which is characterized by the following (a), (b), or (c):

(a) a DNA encoding a protein having the enzymatic activity to hydroxylate the 16-position of the macrolide compound 11107B, wherein the DNA is selected from the group consisting of (1) a continuous nucleotide sequence from base 1322 to base 2548 of SEQ ID NO: 1; (2) a continuous nucleotide sequence from base 420 to base 1604 of SEQ ID NO: 4; and a continuous nucleotide sequence from base 172 to base 1383 of SEQ ID NO: 7;

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(b) a DNA which has a nucleotide sequence having 90% or more identity over the full

length sequence with the DNA described in (a);

(c) a DNA encoding a protein having the same amino acid sequence as the protein

encoded by the DNA described in (a) or (b) though it does not have 90% or more identity with

the DNA described in (a) because of the degeneracy of a gene codon.

2. (Canceled)

3. (Withdrawn) A protein encoded by the DNA as claimed in Claim 1.

4. (Previously Presented) A self-replicative or integrating replicative recombinant

plasmid carrying the DNA as claimed in Claim 1.

5. (Original) A transformant into which the recombinant plasmid as claimed in Claim 4

transforms.

6. (Withdrawn) A method of isolating a DNA encoding a protein having enzymatic

activity in hydroxylating the 16-position of the macrolide compound 11107B, the method

characterized by using the DNA as claimed in Claim 1 or a DNA constituted of a part of the

DNA as a probe or a primer.

7-11. (Canceled)

12. (Withdrawn) A method of producing a 16-position hydroxy macrolide compound, the method comprises the steps of culturing the transformant as claimed in Claim 5 in a medium; bringing the proliferated transformant into contact with a macrolide compound represented by the formula (III):

$$R^{21c} \xrightarrow{R^{21b}} R^{20b} \xrightarrow{R^{17b}} R^{16b} \xrightarrow{R^{16a}} R^{16a} \xrightarrow{R^{12}} G^{m}$$
 (III)

(wherein W represents .or.
$$H \longrightarrow 0$$
 R^{18}

R¹², R^{16b}, R^{17a}, R^{17b}, R¹⁸, R^{20a}, R^{20b}, R^{21a} and R^{21b}, which may be the same as or different from, respectively represent:

- (1) hydrogen atom;
- (2) a C₁₋₂₂ alkyl group which may have a substituent;
- (3) -OR (wherein R represents:
- 1) hydrogen atom; or
- 2) a C₁₋₂₂ alkyl group;
- 3) a C_{7-22} aralkyl group;
- 4) a 5-membered to 14-membered heteroaryloxyalkyl group;
- 5) a C₂₋₂₂ alkanoyl group;
- 6) a C₇₋₁₅ aroyl group;
- 7) a C₃₋₂₃ unsaturated alkanoyl group;

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8) -COR^{co} (wherein R^{co} represents:

- 8-1) a 5-membered to 14-membered heteroaryloxyaryl group;
- 8-2) a C_{1-22} alkoxy group;
- 8-3) an unsaturated C_{2-22} alkoxy group;
- 8-4) a C_{6-14} aryloxy group;
- 8-5) a 5-membered to 14-membered heteroaryloxy group; or
- 8-6) a 3-membered to 14-membered nitrogen-containing non-aromatic heterocyclic group, each of which may have a substituent);
 - 9) a C₁₋₂₂ alkylsulfonyl group;
 - 10) a C₆₋₁₄ arylsulfonyl group; or
- 11) -SiR^{s1}R^{s2}R^{s3}, (wherein R^{s1}, R^{s2} and R^{s3}, which may be the same as or different from, respectively represent a C₁₋₆ alkyl group or a C₆₋₁₄ aryl group), each of which may have a substituent);
- (4) a halogen atom; or
- (5) -R^M-NR^{N1}R^{N2}, {wherein R^M represents a single bond or -O-CO-; and R^{N1} and R^{N2}
- 1) may be the same as or different from, respectively represent:
 - 1-1) hydrogen atom; or
 - 1-2)
 - (i) a C₁₋₂₂ alkyl group;
 - (ii) an unsaturated C₂₋₂₂ alkyl group;
 - (iii) a C_{2-22} alkanoyl group;
 - (iv) a C_{7-15} aroyl group;
 - (v) an unsaturated C₃₋₂₃ alkanoyl group;

- (vi) a C_{6-14} aryl group;
- (vii) a 5-membered to 14-membered heteroaryl group;
- (viii) a C₇₋₂₂ aralkyl group;
- (ix) a C₁₋₂₂ alkylsulfonyl group; or
- (x) a C₆₋₁₄ arylsulfonyl group, each of which may have a substituent, or

2) and RNI and RN2 may be combined with the nitrogen atom to which they bound, to form a 3membered to 14-membered nitrogen-containing non-aromatic heterocyclic group}, provided that

R^{21a} and R^{21b} may be combined with each other to form (i) a ketone structure (=O) or (ii) an oxime structure {=NOR^{ox} (wherein R^{ox} represents a C₁₋₂₂ alkyl group, an unsaturated C₂₋₂₂ alkyl group, a C₆₋₁₄ aryl group, a 5-membered to 14-membered heteroaryl group or a C₇₋₂₂ aralkyl group, each of which may have a substituent)};

R^{16a} represents hydrogen atom;

R^{21c} represents:

(1) hydrogen atom; or

(2)

$$R^{22c} \xrightarrow{R^{22b}} \overset{}{\checkmark}_{2}$$

(wherein R^{22a}, R^{22b} and R^{22c}, which may be the same as or different from, respectively represent:

- 1) hydrogen atom;
- 2) a C_{1-6} alkyl group;
- 3) -OR (wherein R has the same meaning as the above);

4) -R^M-NR^{N1}R^{N2} (wherein R^M, R^{N1} and R^{N2} have the same meanings as the above); or

5) a halogen atom, or

any one of R^{21a} and R^{21b} may be combined with any one of R^{22a} and R^{22b} to form the partial structure;

$$(R^{22a} \text{ or } R^{22b})$$

); and

G^m represents:

(1) a group represented by the formula (GM-I):

{wherein

R² and R¹⁰, which may be the same as or different from, respectively represent hydrogen atom or a C_{1-22} alkyl group;

R^{3a}, R^{3b}, R^{5a}, R^{5b}, R^{6a} and R^{6b}, which may be the same as or different from, respectively represent:

- 1) hydrogen atom;
- 2) hydroxyl group;

3)

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3-1) a C_{1-22} alkyl group;

- 3-2) a C_{1-22} alkoxy group;
- 3-3) a C_{6-14} aryloxy group;
- 3-4) a 5-membered to 14-membered heteroaryloxy group;
- 3-5) a C₂₋₂₂ alkanoyloxy group;
- 3-6) a C_{7-15} aroyloxy group;
- 3-7) a C₃₋₂₃ unsaturated alkanoyloxy group;
- 3-8) -OCOR^{co} (wherein R^{co} has the same meaning as the above);
- 3-9) a C₁₋₂₂ alkylsulfonyloxy group;
- 3-10) a C_{6-14} arylsulfonyloxy group; or
- 3-11) -OSiR^{s1}R^{s2}R^{s3} (wherein R^{s1}, R^{s2} and R^{s3} have the same meanings as the above), each of which may have a substituent;
- 4) a halogen atom; or
- 5) -R^M-NR^{N1}R^{N2} (wherein R^M, R^{N1} and R^{N2} have the same meanings as the above); or

R^{5a} and R^{5b} may be combined with each other to form a ketone structure (=O); or

R^{6a} and R^{6b} may be combined with each other to form a spirooxysilanyl group or an exomethylene group; or

R^{7a} and R^{7b}, which may be the same as or different from, respectively represent hydrogen atom or $-OR^H$ (wherein R^H represents hydrogen atom, a C_{1-22} alkyl group or a C_{2-22} alkanoyl group)};

(2) a group represented by the formula (GM-II):

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(wherein R², R^{3a}, R^{3b}, R^{6a}, R^{6b}, R^{7a}, R^{7b} and R¹⁰ have the same meanings as those in the formula (GM-I));

(3) a group represented by the formula (GM-III):

$$\begin{array}{c|c}
R^{7b} & R^{7a} \\
R^{5b} & R^{5a}
\end{array}$$

$$\begin{array}{c|c}
R^{10} & R^{7a} & GM-III
\end{array}$$

(wherein R², R^{5a}, R^{5b}, R^{6a}, R^{6b}, R^{7a}, R^{7b} and R¹⁰ have the same meanings as those in the formula (GM-I));

(4) a group represented by the formula (GM-IV):

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$$R^{7b}$$
 R^{7a}
 R^{6a}
 R^{10}
 R^{7a}
 R^{6a}
 R^{7a}
 R^{6a}
 R^{7a}
 R^{6a}
 R^{7a}
 R^{6a}

(wherein R², R^{6a}, R^{7a}, R^{7b} and R¹⁰ have the same meanings as those in the formula (GM-I)); or (5) a group represented by the formula (GM-V):

$$R^{10}$$
 R^{10}
 R^{10}

(wherein R², R^{3a}, R^{6a}, R^{6b} and R¹⁰ have the same meanings as those in the formula (GM-I))) during or after culturing, to convert it into a 16-position hydroxy macrolide compound represented by the formula (IV):

$$R^{21c} \xrightarrow{R^{21b}} R^{20b} \xrightarrow{R^{17b}} R^{16b} \xrightarrow{R^{12}} W \xrightarrow{R^{17a}} OH \qquad (IV)$$

(wherein W, R¹², R^{16b}, R^{17a}, R^{17b}, R^{20a}, R^{20b}, R^{21a}, R^{21b}, R^{21c} and G^m have the same meanings as those in the formula (III)); and then collecting the 16-position hydroxy macrolide compound thus converted.

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13. (Canceled)

14. (Withdrawn) The production method according to Claim 12, the method comprises the step of converting a compound represented by the formula (III-a):

$$H_3C$$
 OH
 H_3C
 OH
 CH_3
 CH_3

(wherein 5==4 represents a double bond or a single bond; W' represents a double bond or

; R^{5'} represents hydrogen atom or an acetoxy group; R^{6'} represents hydrogen atom or hydroxyl group; and R^{7'} represents hydrogen atom or acetyl group) into a compound represented by the formula (IV-a):

(wherein $^{5}=4$, W', R^{5} ', R^{6} ' and R^{7} ' have the same meanings as those in the formula (III-a)).

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15. (Withdrawn) The production method according to Claim 14, wherein, in the conversion of the compound of the formula (III-a) into the compound of the formula (IV-a), the compound to be subjected is a compound selected from the group consisting of:

- (1) a compound in which 5== 4 is a single bond; W' is ; and R^{5'}, R^{6'} and R^{7'} are respectively hydrogen atom;
- (2) a compound in which 5==-4 is a single bond, W' is ; R⁵'and R⁶' are respectively hydrogen atom; and R⁷' is acetyl group;
- (3) a compound in which 5===4 is a single bond, W' is ; R⁵'and R⁷' are respectively hydrogen atom; and R⁶' is hydroxyl group;
- (4) a compound in which 5==4 is a single bond, W' is ; R^{5} is hydrogen atom, R^{6} is hydroxy group; and R^{7} is acetyl group;
- (5) a compound in which 5=4 is a single bond; W' is a double bond; and R^{5} , R^{6} and R^{7} are respectively hydrogen atom;
- (6) a compound in which 5=4 is a single bond; W' is a double bond; R^{5'} and R^{6'} are respectively hydrogen atom; and R^{7'} is acetyl group;

(7) a compound in which 5=4 is a single bond; W' is a double bond; $R^{5'}$ and $R^{7'}$ are respectively hydrogen atom; and R⁶ is hydroxyl group;

- (8) a compound in which 5=4 is a single bond; W' is a double bond; R⁵ is hydrogen atom; R⁶' is hydroxy group; and R⁷' is acetyl group;
- (9) a compound in which 5=4 is a double bond; W' is respectively hydrogen atom; and R⁶ is hydroxyl group;
- (10) a compound in which 5=== 4 is a double bond; W' is R⁵ is hydrogen atom; R⁶ is hydroxy group; and R⁷ is acetyl group;
- (11) a compound in which 5=4 is a single bond; W' is R⁶ is hydroxyl group; and R⁷ is hydrogen atom; and
- (12) a compound in which 5==4 is a single bond; W' is R⁶ is hydroxyl group; and R⁷ is acetyl group.
- (Withdrawn) Use of the transformant as claimed in Claim 5 for producing a 16-16. position hydroxy macrolide compound.

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(Previously Presented) The DNA according to claim 1, wherein the DNA 17. comprises bases 1322-2548 of SEQ ID NO: 1.

- 18. (Previously Presented) The DNA according to claim 1, wherein the DNA encodes a polypeptide comprising SEQ ID NO: 2.
- (Previously Presented) The DNA according to claim 1, wherein the DNA 19. consists of bases 1322-2548 of SEQ ID NO: 1.
- 20. (Previously Presented) The DNA according to claim 1, wherein the DNA encodes a polypeptide consisting of SEQ ID NO: 2.
- 21. (Previously Presented) The DNA according to claim 1, wherein said identity in (b) and (c) of claim 1 is 95% or more.
 - 22. (Currently Amended) A DNA comprising
- (a) a DNA encoding a protein, wherein the DNA is selected from the group consisting of (1) a continuous nucleotide sequence from base 1322 to base 2548 of SEQ ID NO: 1; (2) a continuous nucleotide sequence from base 420 to base 1604 of SEQ ID NO: 4; and a continuous nucleotide sequence from base 172 to base 1383 of SEQ ID NO: 7;
- (b) a DNA which has a nucleotide sequence having 90% or more identity over the full length sequence with the DNA described in (a); or

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(c) a DNA encoding a protein having the same amino acid sequence as the protein

encoded by the DNA described in (a) or (b) though it does not have 90% or more identity with

the DNA described in (a) because of the degeneracy of a gene codon.

23. (Previously Presented) The DNA according to claim 22, wherein said identity in (b)

and (c) of claim 22 is 95% or more.

24. (Withdrawn) The DNA according to claim 1, wherein the DNA comprises bases

420-1604 of SEQ ID NO: 4.

25. (Withdrawn) The DNA according to claim 1, wherein the DNA encodes a

polypeptide comprising amino acids 1-395 of SEQ ID NO: 5.

26. (Withdrawn) The DNA according to claim 1, wherein the DNA consists of bases

420-1604 of SEQ ID NO: 4.

27. (Withdrawn) The DNA according to claim 1, wherein the DNA encodes a

polypeptide consisting of SEQ ID NO: 5.

28. (Withdrawn) The DNA according to claim 1, wherein the DNA comprises bases 172-

1383 of SEQ ID NO: 7.

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29. (Withdrawn) The DNA according to claim 1, wherein the DNA encodes a polypeptide comprising amino acids 1-404 of SEQ ID NO: 8.

- 30. (Withdrawn) The DNA according to claim 1, wherein the DNA consists of bases 172-1383 of SEQ ID NO: 7.
- 31. (Withdrawn) The DNA according to claim 1, wherein the DNA encodes a polypeptide consisting of SEQ ID NO: 8.